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3007-1014

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Derek Leigh JONES

Serial No. 10/009,765

Filed December 17, 2001

Confirmation No. 5113

Group 1636

Examiner Unassigned

SPHEROID PREPARATION

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

Washington, D.C. 20231

Sir:

In compliance with Rules 1.97 and 1.98, and in ful-fillment of the duty of disclosure under Rule 1.56, the accompanying documents, copies of which are attached to this statement, are made of record on the enclosed sheet.

A concise explanation of the relevance of these items is that these references were discovered during any searches they or their client had made, or that they were considered in the preparation of the application.

Respectfully submitted,

YOUNG & THOMPSON

Robert J. Patch

Attorney for Applicant Registration No. 17,355

745 South 23rd Street Arlington, VA 22202

Telephone: 703/521-2297

January 7, 2003

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

37 CFR 1.98(b)

INFORMATION DISCLOSURE

(Use several sheets if necessary)

STATEMENT BY APPLICANT

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Derek Leigh JONES

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EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,601,845	02/97	Buxton et al.			
	AB	5,624,839	04/97	Yada et al.			
	AC	5,643,787	07/97	Barsky et al.			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NO.	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION YES NO
 Al	A 10-29951	02/98	JP			with English abstract
 AJ	A 11-180878	07/99	JP			with English abstract
AK	A 7-79772	03/95	JР			with English abstract
AL	A 9-140377	06/97	JP			with English abstract
AM	A 8-70847	03/96	JP			with English abstract
AN	A 5-260957	10/93	JP			with English abstract
AO	A 6-339367	12/94	JP			with English abstract

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Toshiaki Takezawa et al., "Morphological and Immuno-Cytochemical
Characterization of a Hetero-Spheroid Composed of Fibroblasts and
Hepatocytes, Journal of Cell Science, V. 101, 1992, pp. 495-501.
John M. Yuhas et al., "A Simplified Method for Production and Growth of Multicellular Tumor Spheroids," Cancer Research, V. 37, 1977, pp. 3639-3643.
Robert M. Sutherland et al., "Growth of Multicell Spheroids in Tissue Culture as a Model of Nodular Carcinomas," Journal of the National Cancer Institute, V. 46, 1971, pp. 113-120.
Norio Koide et al., "Formation of Multicellular Spheroids Composed of Adult Rat Hepatocytes in Dishes with Positively Charged Surfaces and under other Nonadherent Environments," Experimental Cell Research, V.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

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U.S. PATENT DOCUMENTS

December 17, 2001

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	AA						
	AB						
	AC						

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NO.	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION YES NO
Al						
AJ						
AK						
AL						
AM						
AN						
AO						

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

ВТ	M. Kamihira et al., "Spheroid Formation of Hepatocytes Using Synthetic
	Polymer, " Ann N Y Acad Sci, V. 831, 1997, pp. 398-407, (Abstract).
BU	Toshiaki Takezawa et al., "Cell Culture on a Thermo-Responsive Polymer
<u> </u>	Surface, Bio/Technology, V. 8, 1990, pp. 854-856.
BV	Toshiaki Takezawa et al., "Characterization of Morphology and Cellular Metabolism During the Spheroid Formation by Fibroblasts," Experimental
	Cell Research, V. 208, 1993, pp. 430-441.
 	
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EXAMINER

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